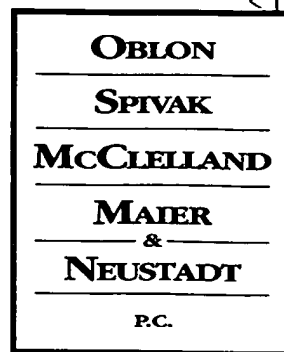


09/662704

CofC

Docket No.: 197261US8

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Inventor: Shusuke KAYA et al.
Patent No.: 6,795,480
Issued: September 21, 2004
Group Art Unit: 2828
Examiner: Jackson, C.
For: SEMICONDUCTOR LASER DEVICE

Certificate
JAN 10 2005
of Correction

SIR:

Attached hereto for filing are the following papers:

SECOND REQUEST FOR CERTIFICATE OF CORRECTION
COPY OF LETTER FROM DECISIONS & CERTIFICATES OF CORRECTION BRANCH
COPY OF DATE-STAMPED FILING RECEIPT DATED AUGUST 5, 2004
COPY OF SUPPLEMENTAL APPLICATION DATA SHEET
CERTIFICATE OF CORRECTION FORM PTO 1050

Credit card payment form in the amount of \$ - 0 - is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Bradley D. Lytle

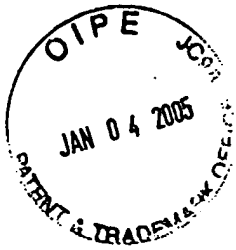
Registration No. 40,073
Joseph Scafetta, Jr.
Registration No. 26,803

Customer Number

22850

(703) 413-3000 (phone)
(703) 413-2220 (fax)

JAN 19 2005



Docket No.: 197261US8

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



RE: Inventor: Shusuke KAYA et al.
Patent No.: 6,795,480
Issued: September 21, 2004
Group Art Unit: 2828
Examiner: Jackson, C.
For: SEMICONDUCTOR LASER DEVICE

SIR:

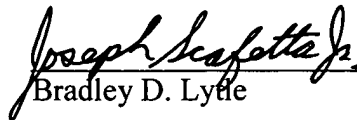
Attached hereto for filing are the following papers:

SECOND REQUEST FOR CERTIFICATE OF CORRECTION
COPY OF LETTER FROM DECISIONS & CERTIFICATES OF CORRECTION BRANCH
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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

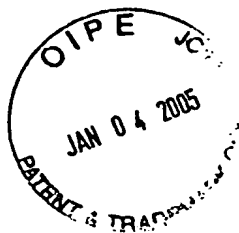

Bradley D. Lytle

Registration No. 40,073
Joseph Scafetta, Jr.
Registration No. 26,803

Customer Number

22850

(703) 413-3000 (phone)
(703) 413-2220 (fax)



DOCKET NO.: 197261US8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shusuke KAYA et al.

PATENT NO.: 6,795,480

GROUP: 2828

ISSUED September 21, 2004

EXAMINER: Jackson, C.

FOR: SEMICONDUCTOR LASER DEVICE

SECOND REQUEST FOR CERTIFICATE OF CORRECTION

DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
ALEXANDRIA, VA 22313-1450

SIR:

The following is a second request for a certificate of correction in Serial Number 09/662,704, now Patent Number 6,795,480.

We respectfully request reconsideration of the decision denying our request, filed October 5, 2004, for a Certificate of Correction. We refer to the letter, dated November 30, 2004, from RoChaun Johnson, Decisions & Certificates of Correction Branch.

The decision states "A petition under CFR 1.182 is required to correct errors in spelling or order of inventors names and addresses, since inventor's names or addresses are printed solely in accordance with the typed-written names and addresses and in the order of the type-written names and addresses on the Declaration, and since the error was the result of Applicant's failure to comply with the requirement that the complete and correct names and addresses in correct order be indicated on the Declaration or Oath, no correction is in order here under the provisions of Rules 1.323, unless a petition is granted."

The originally executed Declaration, filed November 27, 2000, listed the third inventor's name as Michio Okubo. However, a Supplemental Application Data Sheet was submitted to the U.S. Patent & Trademark Office on August 5, 2004 (copy of the date-stamped filing receipt and Supplemental ADS are attached) correcting the third inventor's name to Michio Ohkubo.

The provisions of 37 CFR 1.76 (c) state in part: "*Supplemental application data sheets: (1) may be subsequently supplied prior to payment of the issue fee either to correct or update information in a previously submitted application data sheet, or an oath or declaration under §1.63 or §1.67....*"

JAN 19 2005

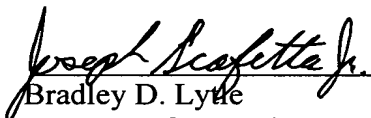
The provisions of 37 CFR 1.76 (d) state in part: *"For inconsistencies between information that is supplied by both an application data sheet under this section and by an oath or declaration under §§1.63 and 1.67: (1) The latest submitted information will govern notwithstanding whether supplied by an application data sheet, or by a §1.63 or §1.67 oath or declaration...."*

Therefore, since the Supplemental Application Data Sheet was submitted after the originally executed declaration, a correction to the third inventor's name is in order.

In accordance with the provisions of Rule 322 of the Rules of Practice, which implement 35 USC 254, the U.S. Patent & Trademark Office is respectfully requested to issue a certificate of correction in the above-identified patent correcting the third inventor's name to read "Michio Ohkubo" as listed on the Supplemental Application Data Sheet. We enclose a new Certificate of Correction Form PTO-1050 indicating the requested correction.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Bradley D. Lytle
Attorney of Record
Registration No. 40,073

Joseph Scafetta, Jr.
Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 08/03)

JAN 19 2005



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY OF COMMERCE AND
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Date: November 30, 2004
Patent No. 6,795,480
Inventor : Kaya, et al
Issued : 09/21/04
For : Semiconductor Laser Device

197261658

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rules 1.322 and 1.323.

A petition under C.F.R. 1.182 is required to correct errors in spelling or order of inventors names or addresses, since inventor's names or addresses are printed solely in accordance with the type-written names and address and in the order of the type-written names and address on the Declaration, and since the error was the result of applicant's failure to comply with the requirement that the complete and correct names and addresses in correct order be indicated on the Declaration of Oath, no correction is in order here under the provisions of Rules 1.323, unless a petition is granted.

In view of the foregoing, your request is hereby denied.

However, a petition under 37 CFR 1.182 should be directed to the attention of the Assistant Commissioner for Patents using the following mailing address or FAX number.

By Mail: Commissioner of Patents and Trademarks
Box DAC
Washington, D.C. 20231

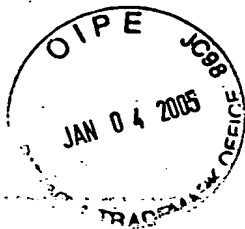
By Fax: (703) 308-6916
Attn: Office of Petitions

Further correspondence concerning this matter should be filed and directed to Decisions and Certificates of Correction Branch.

RoChaun Johnson
Cecelia Newman, Supervisor
Decisions & Certificates
of Correction Branch
703-305-8309 or 308-6803

RECEIVED: 12104
OBLON, SPIVAK, McCLELLAND
MAIER & NEUSTADT, P.C.
DOCKETING DEPT.
Initials/Date Docketed: 12104
Type of Resp(s): _____
Due Date(s): _____

JAN 19 2005



plw

OSMM&N File No. 197261US8

Serial No. 09/662,704

In the matter of the Application of: Shusuke KAYA, et al.

For: SEMICONDUCTOR LASER DECIVE

Dept.: IP-II

By: BDL/nhd

Due Date: 08/12/04

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Credit Card form for \$1330.00
- Issue Fee Transmittal
- PTO Cover Letter
- Supplemental Application Data Sheet

■ Dep. Acct. Order Form



JAN 19 2005



APPLICATION DATA SHEET

APPLICATION INFORMATION

Application Number:: 09/662,704
Application Date:: 09/15/00
Application Type:: REGULAR
Subject Matter:: UTILITY
Suggested Group Art Unit:: 2828
CD-ROM or CD-R?:: NONE
Title:: SEMICONDUCTOR LASER DEVICE
Attorney Docket Number:: 197261US8
Total Drawing Sheets:: 3

INVENTOR INFORMATION

Applicant Authority Type:: INVENTOR
Primary Citizenship Country:: JAPAN
Status:: FULL CAPACITY
Given Name:: Shusuke
Family Name:: KAYA
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Country of Residence:: JAPAN
Street of Mailing Address:: c/o THE FURUKAWA ELECTRIC CO.,
LTD., 6-1, Marunouchi 2-chome, Chiyoda-
ku
City of Mailing Address:: Tokyo
Country of Mailing Address:: JAPAN

Applicant Authority Type:: INVENTOR
Primary Citizenship Country:: JAPAN
Status:: FULL CAPACITY
Given Name:: Takao
Family Name:: NINOMIYA
City of Residence:: Yokohama-shi
Country of Residence:: JAPAN
Street of Mailing Address:: c/o THE FURUKAWA ELECTRIC CO.,
LTD., 6-1, Marunouchi 2-chome, Chiyoda-
ku
City of Mailing Address:: Tokyo
Country of Mailing Address:: JAPAN

Applicant Authority Type:: INVENTOR
Primary Citizenship Country:: JAPAN
Status:: FULL CAPACITY
Given Name:: Michio
Family Name:: OHKUBO
City of Residence:: Fujisawa-shi
Country of Residence:: JAPAN
Street of Mailing Address:: c/o THE FURUKAWA ELECTRIC CO.,
LTD., 6-1, Marunouchi 2-chome, Chiyoda-
ku
City of Mailing Address:: Tokyo
Country of Mailing Address:: JAPAN

Applicant Authority Type:: INVENTOR
Primary Citizenship Country:: JAPAN
Status:: FULL CAPACITY
Given Name:: Seiji
Family Name:: UCHIYAMA
City of Residence:: Fujisawa-shi
Country of Residence:: JAPAN
Street of Mailing Address:: c/o THE FURUKAWA ELECTRIC CO.,
LTD., 6-1, Marunouchi 2-chome, Chiyoda-
ku
City of Mailing Address:: Tokyo
Country of Mailing Address:: JAPAN

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 22850

REPRESENTATIVE INFORMATION

Representative Customer Number:: 22850

FOREIGN PRIORITY INFORMATION

| Application Number: | Country:: | Filing Date:: | Priority Claimed:: |
|---------------------|-----------|---------------|--------------------|
| 11-261639 | Japan | 09/16/99 | YES |

ASSIGNMENT INFORMATION

Assignee Name:: The FURUKAWA ELECTRIC CO., LTD.
Street of Mailing Address:: 6-1, Marunouchi, 2-chome, Chiyoda-ku,
City of Mailing Address:: Tokyo
Country of Mailing Address:: Japan

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : **6,795,480**
DATED: **Sep. 21, 2004**
INVENTOR(S): **Kaya et al.**

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, Item (75), the Inventor's information is incorrect. Item (75) should read:

-- (75) Inventors: **Shusuke Kaya, Yokohama (JP);**
Takao Ninomiya, Yokohama (JP);
Michio Ohkubo, Fujisawa (JP);
Seiji Uchiyama, Fujisawa (JP) --

Mailing address of sender:

Patent No. **6,795,480**

Customer Number
22850

No. of add'l copies
@ .30 per page

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 03/02)



JAN 19 2005

TO: MW On (note date): 1/19/05 Pat. No. 6795480

Team Leaders Initials
INFO SUPPLIED BY: TFS
OAC/LDRC Initials

09/662704

SECOND REQUEST (DIFFERENT CORRECTIONS), SUPERSEDE OR RECONSIDERATION
(OAC OR LDRC, USE A RED PEN FOR COMPLETING INFO, ON THIS COVER SHEET) (11/2002 cbn)

Team Leader, an Office Automation Clerk may assist you by supplying data from CofC Database (Current & History), PALM, and copies from Intranet, to determine type of request (second request, supersede, and/or reconsideration) and to determine if there were any errors made in decisions and/or publishing are attributable. Team Leader, check appropriate boxes below, key record (if necessary) and forward to JCWS, to order file and assign file to an LIE, to EXPEDITE.

Team Leader, DO NOT ORDER FILE.

MRD (for request attached to this cover sheet): 01/04/2005 (Team Leader have LDRC, stamp same MRD on 1050s.)

File Charged to (in PALM): _____ Date Charged to Loc.: 1/1

Information re most recent record in CofC database (Check Current & History)

MRD: 10/12/2004 Examiner (LIE's initials): RJ

Date Assigned: 11/30/2004 Turned In: 11/30/2004

CofC Issued: 1/1 CofC Denied: 11/30/04 Updated: Y/N Date: 1/1

Patent number listed on C of C listing in OG ((circle one) Y/N)

CofC Issued for this record is attached to patent on Internet ((circle one) Y/N)

New/different correction(s) requested. Check Intranet or with RTIS. ((circle one) Y/N)

☐ Duplicate (same heading and corrections published/issued CofC on Intranet. ((circle one) Y/N)

☐ Substitute or corrected request. Locate the original request (check with JCWS and RTIS).

☐ Second Request (another) requesting new/different corrections or additional corrections. TEAM LEADER, DO NOT ORDER FILE. If necessary, call attorney/applicant for assistance in determining if

new/different corrections. Team Leader, key new a record on: 1/1. Place and count with CofCs keyed, same week, determine and note in to upper right hand corner if "P", "R", or "RTC".

Mark through any corrections on 1050, that were appropriately published; or JCWS assign to: _____

☒ Reconsideration ☐ Supersede ☐ Special CofC ☐ Erratum ☐ Expedite CofC

Team Leader, determine if a Request for a Corrected CofC (Supersede) or Reconsideration, due to error in decisions or keying, attributable to (check the appropriate box, below):

☐ RTIS
Keying Error

☐ LIE: _____
LIE Processing or
Decision Error

☐ OFFICE
Error in Entry of Document
or Ex. Decision

☐ ATTY.
1.323 Consideration
or Petition Required

If errors are attributable to LIE, use guidelines for appropriately notifying the LIE and recording errors (make copies supporting that the LIE made error, attach copies to this cover sheet, keeping copies for your records, and forward copies to CBN, at the end of each month).

☐ JW or OL, locate request for CofC published on: 1/1 and return to: _____
(Circle OAC Initials)

☐ Team Leader keyed record on on: 1-24-05 ☐ Post card Printed by Tasneem
(Team Leaders, give all second requests to Tasneem, to print a post card.)

☐ JCWS, order file and assign or reassign to LIE/to: 1/1 /LIE, see your Team Leader for assistance.

Comments/ Instructions: _____